

**METHOD AND APPARATUS FOR HEATING SOLUTIONS**  
**WITHIN INTRAVENOUS LINES TO**  
**DESIRED TEMPERATURES DURING INFUSION**

**BACKGROUND OF THE INVENTION**

**1. Technical Field**

The present invention pertains to devices for warming intravenous (IV) solution during infusion into a patient. In particular, the present invention pertains to a device for receiving and heating a preformed IV tubing cassette or cartridge connected to an IV line to warm solution flowing within the line to a desired temperature during infusion into a patient.

**2. Discussion of Related Art**

Intravenous (IV) fluids are typically infused into a patient utilizing a liquid filled bag or container and an IV fluid line. The fluids are generally delivered from the container to the patient via gravitational forces and/or applied pressure. It is important in many situations that the temperature of the fluid within the IV line be maintained within a desirable and safe temperature range upon entering the patient body so as to eliminate any potential for thermal shock and injury to the patient.

Accordingly, the related art provides several devices for controlling the temperature of fluid in an IV line for infusion into a patient. For example, U.S. Patent No. 4,167,663 (Granzow, Jr. et al.) discloses a blood warming device including a housing with a heating compartment and an access door. The heating compartment includes a warming bag that is internally baffled to define a tortuous flow path and has an inlet port and an outlet port for allowing fluid to flow through the bag. The bag is sandwiched between a plate on the access door and an opposing plate within the heating compartment. The two plates include heating elements to heat the bag and the fluid flowing therein. The device further includes temperature sensors to measure the temperature of fluid flowing within the bag and control circuitry to control the heating elements in accordance with the measured temperatures.

U.S. Patent No. 4,356,383 (Dahlberg et al.) discloses a fluid heating apparatus including a box-shaped member having an enclosure member or cap, a conduit or bag disposed between

1 the box-shaped member and cap and a pair of heating plates respectively connected to the box-  
2 shaped member and cap to abut opposing sides of the conduit and heat fluid flowing  
3 therethrough. A temperature sensing device is positioned for engaging the conduit at a  
4 predetermined location to sense the temperature of fluid within the conduit. The apparatus  
5 further includes a contact member to engage the conduit at a predetermined location for  
6 compressing the conduit to constrict the cross-sectional area of the flow passage when the flow  
7 rate of fluid is below a predetermined flow rate.

8 U.S. Patent No. 5,245,693 (Ford et al.) discloses an apparatus for heating parenteral  
9 fluids for intravenous delivery to a patient. The apparatus includes a disposable cassette which  
10 is made up of a unitary member divided to form a serpentine flow path by a plurality of path  
11 separators. Thin, flexible metallic foil membranes are sealingly joined to the unitary member on  
12 the upper and bottom surfaces thereof to form an enclosed, fluid-tight serpentine flow path  
13 between the plurality of path separators. The entire periphery of the unitary member and heat  
14 conductive foil membranes are sealingly held by a framework. The disposable cassette slides  
15 between first and second heating blocks which contact the heat conductive foil membranes so  
16 as to provide heat transfer to fluid flowing in the serpentine flow path. The heating blocks are  
17 designed to provide a gradation of heat energy where more heat is energy is available for transfer  
18 to the fluid at the inlet end of the serpentine flow path than that available for transfer to the fluid  
19 at the serpentine flow path outlet end.

20 U.S. Patent No. 5,381,510 (Ford et al.) discloses a disposable, in-line heating cassette  
21 and apparatus for raising the temperature of fluids. The cassette comprises a spacer defining a  
22 sinuous or serpentine flow pathway interposed between flexible foils and mounted on a frame.  
23 The frame comprises inlet and outlet tubes and related input and output parts which communicate  
24 with the serpentine path. Juxtaposed heating plates in direct contact with the cassette  
25 substantially contact the entire heating surface of the foils, thereby providing a thermal path from  
26 the heating plate to the foil and further to the fluid. The heating plates have several electrically  
27 conductive strips thereon for generating a gradation of heat energy where more heat energy is  
28 available for transfer at the inlet end than at the outlet end of the serpentine flow path.

29 U.S. Patent No. 6,175,688 (Cassidy et al.) discloses an intravenous fluid heater  
30 dimensioned to be wearable adjacent a patient intravenous fluid infusion situs. The heater  
31 includes a heat exchanger for defining a flow path through the heater for fluid to be infused via

1 the infusion situs. At least one controllable heating element is provided for heating the fluid in  
2 the flow path by heat conduction thereto through the heat exchanger. Sensors are included for  
3 sensing respective temperatures of entering and exiting fluids of the flow path. A controller  
4 controls heating of the fluid in the flow path based on temperatures of the exiting fluids to cause  
5 the fluid in the flow path to be substantially uniformly heated to a desired infusion temperature  
6 prior to exiting the heater.

7 U.S. Patent No. 6,261,261 (Gordon) discloses an infrared heating device for prewarming  
8 solutions that includes a cassette having a predetermined length of tubing connectable between  
9 an IV solution source and an infusion site for a patient. An infrared energy-generating sheet is  
10 positioned onto the cassette adjacent the IV tubing. In one embodiment of the device, the IV  
11 tubing is arranged in a spiral path on the cassette.

12 The related art devices described above suffer from several disadvantages. In particular,  
13 the Granzow, Jr. et al., Dahlberg et al., Ford et al. and Cassidy et al. devices each generally  
14 employ housings that inhibit viewing of fluid during treatment. Thus, the fluid may incur certain  
15 undesirable conditions within the devices (e.g., contamination, air bubbles, etc.) that are beyond  
16 the view of, and may be undetected by, an operator, thereby risking serious injury to a patient.  
17 Further, these devices tend to employ heat exchangers generally with a serpentine fluid flow path  
18 defined therein that typically includes a plurality of overlapping fluid flow passageways. The  
19 path generally includes dimensions different than those of the fluid lines, thereby tending to  
20 affect the rate of fluid flow and, consequently, the amount of thermal energy required to heat the  
21 fluid to a desired temperature. As a result, the devices may need to further employ flow sensors  
22 to measure and account for changes in fluid flow in order to ensure maintenance of proper fluid  
23 temperature, thereby increasing system complexity and costs. Moreover, the overlapping  
24 passageways tend to confine thermal energy that may otherwise be distributed to heat the fluid,  
25 thereby limiting the device heating potential. The Gordon device utilizes a cassette with a fluid  
26 flow path formed of tubing. However, this device employs infrared radiation to thermally treat  
27 the fluid, thereby involving special safety measures and requiring additional components to  
28 isolate the radiation from the patient. In addition, the above-described systems of the related art  
29 include heat exchangers or fluid flow paths including inlets separated from fluid outlets, thereby  
30 tending to increase complexity of connections and installation for use with an infusion apparatus.

1                                    **OBJECTS AND SUMMARY OF THE INVENTION**

2                    Accordingly, it is an object of the present invention to warm solution within an IV line  
3 during infusion by heating a preformed IV tubing cassette or cartridge connected to and receiving  
4 solution from the IV line.

5                    It is another object of the present invention to uniformly heat an IV tubing cassette or  
6 cartridge containing solution from an IV line by simultaneously heating opposing cassette  
7 surfaces.

8                    Yet another object of the present invention is to disable operation of an IV solution  
9 warming device in response to detecting the absence of a cassette within that device.

10                  Still another object of the present invention is to configure an IV tubing cassette or  
11 cartridge to occupy a minimal amount of warming device housing space, while providing  
12 sufficient residence time for fluid to be heated to a desired temperature within the device.

13                  A further object of the present invention is to thermally treat a warming device IV tubing  
14 cassette or cartridge containing fluid, while enabling viewing of the fluid within the device.

15                  Yet another object of the present invention is to heat IV solution with a warming device  
16 employing an IV cassette or cartridge including tubing arranged to form concentric passages that  
17 alternately direct IV solution flow in opposing directions to enhance thermal transfer between  
18 the passages during solution warming.

19                  The aforesaid objects may be achieved individually and/or in combination, and it is not  
20 intended that the present invention be construed as requiring two or more of the objects to be  
21 combined unless expressly required by the claims attached hereto.

22                  According to the present invention, an IV line temperature controlled warming device  
23 includes a housing and a fluid cassette or cartridge that receives fluid from an IV line and  
24 includes intravenous line tubing arranged in a preformed configuration to enable the IV line fluid  
25 to flow therethrough. The preformed configuration includes tubing sections arranged in generally  
26 circular and concentric portions and a central serpentine tubing section that includes a generally  
27 'S'-shaped configuration. The serpentine section basically reverses fluid flow and facilitates flow  
28 in opposing directions within adjacent tubing sections. The fluid cassette is retained within the  
29 device on a base plate partially disposed within a device housing interior, while a housing cover  
30 is selectively opened and closed to permit access to the base plate. The base plate includes a  
31 heater plate disposed thereon, while the cover and heater plate each include heating elements to

1 apply heat to opposing surfaces of the tubing cassette. The heating elements are controlled by  
2 a controller in response to measured temperatures of the heater plate and fluid. In addition, the  
3 device includes various safeguards that ensure device operation during appropriate conditions  
4 (e.g., appropriate temperatures, proper placement of a compatible cassette within the device,  
5 etc.).

6 The above and still further objects, features and advantages of the present invention will  
7 become apparent upon consideration of the following detailed description of specific  
8 embodiments thereof, particularly when taken in conjunction with the accompanying drawings,  
9 wherein like reference numerals in the various figures are utilized to designate like components.

#### 10 **BRIEF DESCRIPTION OF THE DRAWINGS**

11 Fig. 1 is a view in perspective of an IV line temperature controlled warming device  
12 including an IV tubing cassette or cartridge disposed therein according to the present invention.

13 Fig. 2 is an exploded view in perspective of the warming device of Fig. 1.

14 Fig. 3 is a view in perspective of a heating element for a cover of the warming device of  
15 Fig. 1.

16 Fig. 4 is an exploded view in perspective of an outlet tubing portion of the cassette of Fig.  
17 1 disposed on a device base plate and including a sensor fitting to measure temperature of fluid  
18 within the cassette.

19 Fig. 5 is an electrical schematic diagram of an exemplary control circuit for the warming  
20 device of Fig. 1.

21 Fig. 6 is a side view in perspective of a mount utilized to support a warming device  
22 according to the present invention.

#### 23 **DETAILED DESCRIPTION OF THE PREFERRED EMBODIMENTS**

24 An IV line temperature controlled warming device for heating and maintaining fluids  
25 flowing within an IV fluid line at desired temperatures is illustrated in Fig 1. Specifically,  
26 warming device 2 includes a housing 10 with a lid or cover 22 pivotally attached thereto. The  
27 warming device receives a tubing cassette or cartridge 50 that is typically connected to an  
28 intravenous line (IV) supplying intravenous solution from an IV solution bag or container to a  
29 patient. The device housing includes a base plate 30 to receive cassette 50 and a heater plate 42  
30 disposed on the base plate beneath the cassette to heat a cassette bottom surface as described  
31 below. An additional heater or heating element 25 is disposed on cover 22 to heat the cassette

1 top surface as described below. Thus, the cassette is disposed between the heater plate and cover  
2 heating elements to receive heat on opposing cassette surfaces for uniform heating of solution  
3 or other fluid flowing therein. A controller 62 is partially disposed within the housing to enable  
4 entry of desired solution temperatures and to control device operation as described below. The  
5 warming device may be oriented in a variety of positions (e.g., horizontally, vertically, etc.) and  
6 may be mounted to or supported by various structures (e.g., a patient arm or other body portion,  
7 swing arm, arm board, bed, bed rail, operating room or other table, IV pole, wall, floor, posts,  
8 etc.). The warming device is preferably positioned in close proximity to an infusion site of a  
9 patient in order to heat IV fluid (e.g., may heat fluid with or without skin contact), and may be  
10 portable for use in various locations. The warming device may be utilized for operating room,  
11 pre-op and/or post-op procedures or at any other times where infusion is being performed. In  
12 addition, a pre-heated IV solution bag or container may be used in conjunction with the warming  
13 device. In this case, the device is typically disposed at or near the patient infusion site and  
14 basically heats the fluid to compensate for heat loss during infusion due to exposure of the fluid  
15 to the ambient environment.

16 Referring to Figs. 2-4, housing 10 includes front and rear walls 12, 14, side walls 16, 18  
17 and a bottom wall 20. Side walls 16, 18 are attached to and extend between front and rear walls  
18 12, 14, while bottom wall 20 is attached to the bottom edges of the front, rear and side walls. The  
19 housing walls are substantially rectangular and collectively define a housing interior with an open  
20 top portion. It is to be understood that the terms “top”, “bottom”, “side”, “front”, “rear”,  
21 “horizontal”, “vertical”, “upper”, “lower”, “up”, “down”, “height”, “length”, “width”, “depth”  
22 and the like are used herein merely to describe points of reference and do not limit the present  
23 invention to any specific orientation or configuration. Front wall 12 includes a substantially  
24 rectangular opening 13 defined therein for receiving controller 62. The controller is disposed  
25 through the front wall and partially within the housing interior. The housing may be constructed  
26 of any suitable rigid material (e.g., plastic), and is preferably constructed of a substantially  
27 transparent material to permit viewing of the fluid within the cassette, especially during heating.  
28 This enables an operator to detect various conditions (e.g., contamination, air bubbles, etc.) that  
29 may cause injury to a patient. The housing basically houses the device electrical components and  
30 supports the base plate as described below.

31 Base plate 30 has a generally rectangular configuration and includes a lower portion 31

1 that is suitably dimensioned to fit within the housing open top portion. The base plate is  
2 constructed of a suitably rigid material (e.g., an acrylic resin) to receive and retain the heater plate  
3 and tubing cassette thereon as described below. The base plate is preferably transparent to enable  
4 viewing of intravenous fluid flowing within the cassette as described above. An upper portion  
5 32 of the base plate has dimensions greater than those of lower portion 31 to permit the base  
6 plate to rest on the upper edges of the housing front, rear and side walls with the base plate lower  
7 portion slightly extending within the housing interior. An upper surface 34 of the base plate  
8 includes a generally annular recess or groove 36 defined therein. The groove basically forms a  
9 substantially circular engagement section 39 on the base plate upper surface within an area  
10 defined by a groove inner diameter or dimension. A channel 37 extends tangentially from a  
11 circumferential edge of the annular groove to a base plate front edge. Channel 37 includes an  
12 opening 75 for receiving a device temperature probe and a cassette temperature sensing fitting  
13 to measure temperature of fluid within the cassette as described below. A serpentine channel 38  
14 including a generally 'S'-shaped configuration is defined within section 39 and facilitates proper  
15 alignment of cassette 50 within the device for temperature heating and measurement of fluid as  
16 described below. The ends of channel 38 emerge from section 39 to communicate with annular  
17 groove 36. A post 40 is disposed at the approximate center of channel 38 and is typically  
18 constructed of an electrically conductive material (e.g., copper). The post facilitates formation  
19 of a conductive path to enable device operation as described below.

20 Heater plate 42 includes a configuration compatible with the upper surface of base plate  
21 30. Specifically, heater plate 42 includes a generally annular portion 43 with an elongated and  
22 generally rectangular projection 44 extending tangentially from an annular portion  
23 circumferential edge. The heater plate is preferably flat, but may include a grooved surface to  
24 receive cassette tubing sections or to form fluid flow paths to enable use of the device without  
25 the cassette. Channel projections 46 extend from the inner edge of the annular portion and are  
26 angularly spaced by approximately one-hundred eighty degrees. The channel projections are  
27 suitably configured and dimensioned to occupy initial portions of channel 38 of base plate 30  
28 while permitting conductive post 40 to be exposed. Heater plate 42 includes a conventional or  
29 other heating element 80 (Fig. 5) disposed on the underside of the heater plate. The heating  
30 element is preferably in form of a conventional etched silicon rubber heating pad or other heater  
31 affixed to the heater plate underside by a pressure sensitive adhesive. Alternatively, the heating

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1 element may be contoured to a heater plate grooved surface or be attached to the flat surface via  
2 any conventional fastening techniques (e.g., adhesives, etc.). The heating element heats the  
3 heater plate and is coupled to a warming device control circuit to control heating of the cassette  
4 as described below. Heater plate annular portion 43 and projections 44, 46 are disposed within  
5 groove 36 and channels 37, 38 of the base plate, respectively, to enable the heater plate to heat  
6 an engaging surface of a tubing cassette placed thereon. The heater plate may be constructed of  
7 any thermally conductive materials.

8         Cassette 50 includes a configuration compatible with the base plate to facilitate placement  
9 of the cassette within the warming device. Specifically, the cassette includes an inlet portion 52,  
10 an outlet portion 54 adjacent the inlet portion and a cassette body 57 defining a fluid flow path.  
11 The cassette body includes tubing sections 59, preferably transparent, arranged in generally  
12 circular and concentric sections 65, 67. A central serpentine tubing section 56 includes a  
13 generally 'S'-shaped configuration that basically reverses fluid flow and facilitates flow in  
14 opposing directions within adjacent concentric tubing sections 65, 67. In other words, fluid  
15 enters the cassette via inlet portion 52 and flows through concentric sections 65 toward central  
16 serpentine tubing section 56. The serpentine section receives fluid from an innermost tubing  
17 section 65 and directs the fluid to flow in concentric sections 67 toward outlet portion 54. Thus,  
18 fluid flows in concentric sections 65 in a direction opposite to that of fluid flow in adjacent  
19 sections 67. The concentric tubing sections are in close proximity to each other to enable  
20 thermal transfer between adjacent sections. The cassette may include any quantity of tubing  
21 sections to produce a residence time within the warming device sufficient to heat the fluid. The  
22 concentric tubing sections may be spaced a slight distance from each other or be positioned to  
23 contact adjacent sections, while the tubing may be manipulated to form and maintain a cassette  
24 configuration via any conventional techniques or manufacturing processes (e.g., molded, glued,  
25 etc.). The serpentine tubing section facilitates alternate or opposing fluid flow directions (e.g.,  
26 without utilizing overlapping or serpentine type configurations) and proper alignment of the  
27 cassette within the base plate as described below. However, the cassette may include any  
28 configuration reversing fluid flow direction, and may include overlapping or non-overlapping  
29 tubing sections. The cassette inlet, outlet and body may be constructed of a flexible plastic  
30 (e.g., polyvinyl chloride (PVC)) or any other material suitable for IV fluid line applications.

31         Inlet and outlet tubing portions 52, 54 are adjacent each other and extend tangentially



1 from a circumferential edge of body 57. These tubing portions further include dimensions  
2 suitable for being received and retained within base plate channel 37. The inlet and outlet tubing  
3 portions terminate at respective inlet and outlet terminals 53, 55 that extend externally of the  
4 device housing when the cassette is received and retained within the base plate annular groove  
5 and channels. The inlet and outlet terminals include suitable connectors (e.g., Luer locks) to  
6 connect inlet and outlet tubing portions 52, 54 to any selected portions of an IV line. Serpentine  
7 tubing section 56 has dimensions sufficient to be retained within base plate channel 38 and  
8 basically facilitates appropriate alignment of the cassette within the base plate for heating and  
9 temperature measurement of the fluid. A contact 60 is disposed around a substantially central  
10 portion of serpentine tubing section 56. The contact is preferably constructed of an electrically  
11 conductive material (e.g., copper), and may be in any desired form (e.g., a metallic band, wrap,  
12 rod, fluid, etc.). The base plate configuration enables cassette contact 60 to contact conductive  
13 post 40 and a contact 26 of cover heating element 25 when the tubing cassette is placed within  
14 the base plate groove and channels and the cover is in a closed state. The post and contacts  
15 basically serve to form or complete an electrical path or circuit to enable device operation in  
16 response to proper placement of a compatible cassette within the device. The warming device  
17 may alternatively employ contacts or conductive members disposed on any device components  
18 at any locations to form an electrical path through a pressure switch that senses closure of the  
19 device on the cassette for proper operation. The pressure switch may further include a dual  
20 element sensor to measure fluid temperatures within the cassette inlet and outlet portions.

21 A sheet or backing 61 may be attached to the cassette top and/or bottom surface to secure  
22 the tubing arrangement thereon. The sheet may include an annular configuration similar to that  
23 of cassette 50, and may include tabs 63 disposed on the sheet at any desired locations to facilitate  
24 manipulation of the cassette relative to the warming device (e.g., facilitate insertion and removal  
25 of the cassette within the warming device). The warming device may accommodate any quantity  
26 of cassettes, while the cassette may include any quantity of preformed tubing to form a plural  
27 level or layer cassette. In other words, the cassette may include any quantity of tubing sections  
28 disposed on any quantity of planes (e.g., stacked one above the other, etc.). This tends to provide  
29 additional residence or heating time for fluid within the cassette. The fluid flow path through  
30 the cassette may be formed in any manner, and may be defined by any structures (e.g., tubing,  
31 sealed channels, pools, chambers, etc.).

Flow of IV fluid through cassette 50 is described. Basically, fluid from an IV line enters the cassette at inlet terminal 53 and is directed in a winding pattern through concentric tubing sections 65 toward serpentine section 56. Upon reaching tubing section 56, the fluid is directed in an opposing direction through concentric tubing sections 67 toward outlet portion 54 to exit the cassette at outlet terminal 55 and return to the IV line or be directed to a desired location (e.g., an infusion site). In essence, fluid flowing within the cassette travels in opposing directions within adjacent concentric tubing sections of the cassette body. The flow pattern defined by the tubing cassette provides a greater residence time for fluid within the cassette, thereby extending the exposure of the fluid to heating elements within the device housing. Further, the flow pattern enhances heat exchange between adjacent tubing sections 65, 67 that contain fluid exposed to the heating elements for different intervals (e.g., fluid flowing within sections 67 toward outlet portion 54 has a greater residence time than fluid flowing in adjacent tubing sections 65 toward serpentine section 56). It is to be understood that the designation of portion 52 as the inlet portion and portion 54 as the outlet portion is for illustrative purposes only, since either portion may serve as an inlet or outlet portion depending upon the manner in which the terminals of the tubing cassette are connected to an IV line.

Cover or lid 22 is generally rectangular and pivotally connected to an upper edge of rear wall 14 to selectively control access to the housing. The cover includes an open bottom portion and is configured to receive and cover upper portion 32 of base plate 30. The cover may be connected in any suitable manner to the housing rear wall via any fastening devices (e.g., hinges, brackets, etc.), and may include a handle (not shown) or any other suitable device or structure to facilitate pivoting of the cover with respect to the housing. The cover may alternatively be connected to any of the housing walls or base plate, and may be constructed of any suitable materials (e.g., an acrylic resin). However, the cover is preferably constructed of a transparent material to enable viewing of intravenous fluid flowing within the tubing cassette as described above. A latching or locking mechanism (not shown) may be disposed on the cover and/or housing to secure the cover in a closed state and press the cover against the cassette to enhance contact between the cassette top and bottom surfaces and the cover heating element and heater plate, respectively.

The cover includes heating element 25 (Fig. 3) to apply heat to the cassette top surface. Specifically, heating element 25 is disposed on a cover interior surface in facing relation with

1 the heater plate. The heating element is preferably implemented by a clear or transparent acrylic  
2 heater including a sheet 27 with electrically conductive wiring 24 embedded therein. The  
3 transparent heating element enables viewing of the fluid flowing within the cassette as described  
4 above. Wiring 24 is arranged within sheet 27 and, hence, on the cover to coincide with the  
5 tubing cassette received on the base plate. The configuration of the electrical wiring basically  
6 includes a generally annular body portion 29 with a tangentially extending section 33. These  
7 sections basically outline and are disposed coincident the corresponding body and inlet and outlet  
8 portions of the cassette to apply heat to those cassette sections. Contact 26 (e.g., an electrically  
9 conductive plate) is disposed on sheet 27 within the confines of wiring body portion 29 and  
10 facilitates formation of an electrical path from the cover heating element contact through cassette  
11 contact 60 to the base plate conductive post to enable device operation as described below.  
12 Wiring 24 further includes connection terminals 28 disposed toward a circumferential edge of  
13 wiring body portion 29 to connect the heating element to a device control circuit as described  
14 below.

15 In order to measure temperature of fluid within cassette 50, a fitting 90 is disposed within  
16 outlet portion 54 at a location toward outlet terminal 55. Fitting 90 (Fig. 4) includes a  
17 substantially cylindrical base portion 92 and a generally cylindrical projection 94 extending  
18 transversely relative to the cassette tubing from an intermediate section of the base portion. The  
19 base portion includes open ends 95 and a longitudinal channel defined therethrough to permit  
20 fluid flow through the base portion. The open ends are securable to outlet portion 54. Projection  
21 94 similarly includes open ends and facilitates access to the base portion channel. Fitting 90  
22 typically includes a T-type configuration, however, any configuration (e.g., a Y-type fitting, cross  
23 fitting, coupling, etc.) may be utilized. Each base portion open end 95 may be secured to the  
24 outlet portion via any suitable connector, while the fitting is typically disposable with the cassette  
25 after each use to maintain fluid sterility. The fitting may be constructed of plastic or any other  
26 rigid material suitable for use with IV lines. A thermally conductive receptacle 96 is secured  
27 within projection 94 and extends partially within base portion 92 for contacting fluid flowing  
28 within the base portion channel. Receptacle 96 may be constructed of stainless steel or any other  
29 material having suitable thermal conductivity, and may be secured within the projection via any  
30 suitable securing techniques (e.g., friction fit, adhesives, etc.). The receptacle includes a  
31 generally cylindrical body with a closed distal end that extends partially within the base portion

1 and an open proximal end for receiving a temperature probe or sensor 98 as described below.  
2 A flange extends radially from the open proximal end of the receptacle to engage an interior  
3 surface of the projection. The receptacle includes dimensions sufficient to provide a fluid tight  
4 seal between the projection and base portion channel to maintain fluid within the channel.

5 Temperature probe 98 is disposed within the device housing and extends through  
6 opening 75 defined in the base plate to be removably inserted within the receptacle when the  
7 cassette is placed on the base plate. The distal end of the probe is disposed in contact with the  
8 receptacle closed end, while the probe may be secured within the receptacle via friction fit, a  
9 locking or securing mechanism or any other securing techniques. Cassette 50 is placed on the  
10 heater plate with projection 94 inserted within the base plate opening 75 to enable the probe to  
11 engage the receptacle. Sensor wiring (not shown) connects the probe to controller 62 (Fig. 2) to  
12 provide a fluid temperature for controlling system operation. The receptacle is typically sterile  
13 and permits re-use of temperature probe 98 with subsequent cassettes to maintain sterility. The  
14 temperature probe may be implemented by any conventional or other temperature sensor (e.g.,  
15 RTD, IR, NTC, thermistor, thermocouple, etc.). The fitting may be substantially similar to the  
16 temperature sensing device disclosed in co-pending U.S. Patent Application Serial No. 09/XXX,  
17 XXX, entitled "Temperature Sensing Device for Selectively Measuring Temperature at  
18 Desired Locations Along an Intravenous Fluid Line" and filed on October 11, 2001, the  
19 disclosure of which is incorporated herein by reference in its entirety.

20 Controller 62 (Fig. 2) has a generally rectangular configuration with suitable dimensions  
21 to permit insertion of the controller into opening 13 defined in the housing front wall. The  
22 controller includes a front panel 64 including dimensions slightly greater than opening 13 to  
23 allow the front panel to remain external of the housing and accessible to a user. Front panel 64  
24 includes a display 66 (e.g., LED, LCD, etc.) and input devices 67 (e.g., buttons, keys, etc.) that  
25 enable entry of a desired or set point temperature for the fluid or other information (e.g., entering  
26 desired fluid heating temperatures, controls for various threshold heater plate temperatures, etc.)  
27 to direct controller operations. The set point temperature may alternatively be predetermined or  
28 pre-programmed into the controller for device operation.

29 The controller display may provide various information to an operator (e.g., whether or  
30 not the tubing cassette is properly aligned on the base plate to permit heating, utilization of an  
31 incompatible cassette with the device, desired or set point temperature information, temperatures

1 measured by temperature sensors disposed within the device, excessive temperature  
 2 measurements, etc.). Alternatively, the housing may include various visual and/or audible  
 3 indicators (e.g., LED's, audio, speech, etc.) to indicate various conditions (e.g., proper placement  
 4 of the cassette within the device, excessive temperatures, incompatible cassette being employed  
 5 with the device, device ready for operation, etc.). Further, the controller may provide variable  
 6 controls to control device operation in response to various temperature measurements (e.g.,  
 7 output fluid temperature, cassette temperature, heating element temperatures, heater plate  
 8 temperature and/or resistance, IV solution bag or container temperature, serpentine tubing section  
 9 temperature, etc.). The controller may further provide measured temperature information to an  
 10 external display or a printer, and may record the number of heating sessions conducted by the  
 11 device by accumulating the quantity of cover closures and/or heating element enablements. The  
 12 controller may provide this information, typically in the form of a count, to the operator.

13 The cover and heater plate heating elements are controlled by the controller utilizing  
 14 feedback from temperature sensors and/or probes disposed within the housing in proximity to  
 15 the heater plate and the tubing cassette. The controller basically provides resistive control of the  
 16 heating elements disposed on the cover and heater plate (e.g., adjusts power or current to these  
 17 heating elements), and may control these heating elements based on a resistance measurement  
 18 of the heater plate, or based on the heater plate and/or fluid temperatures. Further, the warming  
 19 device may include a plurality of sensors to monitor the heating elements, heater plate and/or  
 20 cassette to provide various safeguards for device operation (e.g., disable the device in response  
 21 to excessive temperature measurements, etc.). The temperature sensors are preferably  
 22 implemented by conventional RTD temperature sensors, and are preferably employed to measure  
 23 the temperature of the heater plate and fluid flowing within the tubing cassette. However, the  
 24 temperature sensors may be implemented by any conventional or other type of temperature sensor  
 25 (e.g., NTC, IR, thermocouple, thermistors, etc.) and may measure any device component or fluid  
 26 temperature. A heater plate temperature sensor 84 (Fig. 5) may be disposed at any suitable  
 27 location on or in close proximity to the heater plate for direct or indirect temperature  
 28 measurement. Similarly, a fluid temperature sensor may be disposed at any suitable location on  
 29 or within the tubing cassette for direct or indirect temperature measurement of the fluid flowing  
 30 therein.

31 An exemplary control circuit to facilitate control of device operation is illustrated in Fig.



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or detection of the presence of air bubbles within the fluid. The fluid detection may be performed by a fluid sensor disposed within the warming device, or by temperature probe 98 providing a temperature measurement within a particular range or below a certain threshold. The air bubbles may be detected by an ultrasonic air detector to enable cessation of fluid flow prior to air bubbles reaching a patient and causing injury.

Switches 86, 88 are connected in series between controller 62 and relay 78. Switch 86 represents the connection between contact 60 (Fig. 2) of tubing cassette 50 with conductive post 40 of base plate 30 and contact 26 of cover heating element 25, while switch 88 represents a switch to enable device operation when the cover is in a closed state. The switches basically permit relay 78 to be enabled by controller 62 in response to proper alignment of the tubing cassette on the base plate (e.g., the formation of the electrical path further serves to indicate the presence of a cassette compatible with the warming device) and closing of the cover. Thus, the switches enable device operation and control of the cover and heater plate heating elements by controller 62 during the presence of those conditions, and effectively disables the heating elements during absence of the conditions (e.g., the switches disable the heating elements in response to employment of an incompatible cassette, misalignment of the cassette on the base plate or the cover residing in an open state).

Operation of the IV line temperature controlled warming device is described with reference to Figs. 1-5. Initially, an operator pivots cover 22 to an open position for insertion of IV tubing cassette 50 between the base plate and the cover. The tubing cassette is inserted within the device with cassette body 57 aligned with annular groove 36, inlet and outlet portions 52, 54 and serpentine tubing section 56 respectively aligned with channels 37, 38 and fitting projection 94 disposed within base plate opening 75 to receive temperature probe 98 within fitting projection receptacle 96. Upon proper insertion of the cassette into the warming device, contact member 60 contacts conductive post 40 and contact 26 of the cover heating element to enable device operation. The operator subsequently pivots the cover to a closed position and secures the latching mechanism on the cover with the housing to ensure contact between tubing cassette contact 60, conductive post 40 and cover heating element contact 26. The controller may indicate on display 66 proper securement of the tubing cassette within the base plate as described above, and may further indicate that the heating elements are enabled (i.e., switches 86, 88 are closed) to heat the tubing cassette. Inlet and outlet terminals 53, 55 of the tubing

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1 cassette are connected to portions of an IV line that extends between an IV fluid supply source  
2 (e.g., an IV solution bag or container) to an infusion site on a patient.

3 The operator may enter a desired temperature for the IV fluid flowing within the tubing  
4 cassette via the input devices on the controller front panel. Heat controller 82 controls the  
5 heating elements of the heater plate and the cover to attain a predetermined temperature, while  
6 controller 62 controls power to the heat controller, via relay 78, based upon measured  
7 temperatures provided by the heater plate temperature sensor and temperature probe. Thus, the  
8 warming device of the present invention enables fluid flowing within the tubing cassette to  
9 achieve and maintain a desired fluid temperature. The configuration of the cassette is also  
10 advantageous in that it provides a long residence time for fluid flowing therein, thereby ensuring  
11 that fluid exiting the cassette attains the desired temperature. The concentric configuration of  
12 the tubing cassette portions further provides enhanced heat exchange between fluid within  
13 adjacent concentric tubing sections. Upon completion of a heating session, the cover may be  
14 opened, and the tubing cassette removed and discarded. A new and sterile tubing cassette may  
15 then be inserted into the housing for use in another heating application. The controller may  
16 further record the number of heating sessions conducted with the warming device by counting  
17 the number of times the cover is closed (e.g., a tubing cassette is inserted within the housing and  
18 the cover is closed) and/or the heating elements are enabled. The controller may provide count  
19 information to the operator relating to the number of times the warming device has been utilized.

20 An exemplary pole type mount for use with the IV line warming device is illustrated in  
21 Fig. 6. Specifically, mount 100 includes an arm 103 and a swivel connector 102 (e.g., a  
22 manipulable bracket) securable around a portion of an IV pole 104 to attach the arm to the pole.  
23 Arm 103 is secured to connector 102 and includes a lower arm section 106 and an upper arm  
24 section 108. Each arm section includes a pair of generally cylindrical rods 105 extending  
25 substantially parallel to each other and secured at each end to respective pivot mounts as  
26 described below. Lower arm section 106 is pivotally secured at a proximal end to a proximal  
27 pivot mount or bracket 110 and at a distal end to an intermediate pivot mount or bracket 112.  
28 Proximal pivot mount 110 includes a substantially cylindrical projection 122 that is received  
29 within a channel 124 defined in connector 102. The projection and channel rotatably secure  
30 proximal pivot mount 110 to connector 102, while a proximal helical spring 111 is secured at one  
31 end to proximal pivot mount 110 and at the other end to a rod 105 of lower arm section 106.



Upper arm section 108 is pivotally secured at a proximal end to intermediate pivot mount 112 and at a distal end to a distal device mount or bracket 114. A base plate 116 is attached to the distal device mount, while a platform or tray 120 is attached to the base plate top surface to support an item, such as the warming device. The tray may be manipulable relative to the distal device mount for enhanced positioning of an item. A distal helical spring 113 is secured at one end to intermediate pivot mount 112 and at the other end to a rod 105 of upper arm section 108. The connections between the rods and pivot mounts permit pivoting of the lower and upper arm sections relative to each other and to pole 104, thereby facilitating placement of the warming device at a variety of locations with respect to the IV pole.

It will be appreciated that the embodiments described above and illustrated in the figures represent only a few of the many ways of implementing a method and apparatus for heating solutions within intravenous lines to desired temperatures during infusion.

The warming device may be of any shape or size, may be positioned at any desired locations in any orientation, and may heat any types of medical or other fluids. The device housing may be of any shape or size and may be constructed of any suitable materials. The housing materials are preferably transparent to enable viewing of the fluid and any conditions within the device (e.g., contamination, air bubbles, etc.), but may have any degree of transparency (e.g., transparent, translucent, opaque, etc.). The housing walls may be of any quantity, shape or size and may be constructed of any suitable materials. The controller may be disposed at any suitable location on or within the housing, while the housing opening may be of any shape or size and be defined at any suitable location on the housing to receive the controller. The housing interior may include any suitable configuration to partially or completely receive any quantity of controllers, base plates, heater plates, IV tubing cassettes or other device components. For example, the housing may be configured for receiving a plurality of tubing cassettes in order to heat fluid within plural separate IV fluid lines. The device components may be arranged in any fashion within the housing.

The base plate may be of any quantity, shape or size, may include any suitable configuration and may be constructed of any suitable materials. The base plate is preferably transparent to enable viewing of the fluid as described above, but may have any degree of transparency (e.g., transparent, translucent, opaque, etc.). The base plate may be secured at any suitable location on or within the housing. The base plate lower and upper portions may be of

1 any quantity, shape or size, while the base plate may be secured to the housing via any securing  
 2 techniques (e.g., friction fit, brackets, etc.). The base plate surface may include any quantity of  
 3 grooves and/or channels having any suitable configuration for receiving and retaining the heater  
 4 plate and cassette. The annular groove and tangential channel may be of any shape or size and  
 5 may be defined at any suitable locations within the base plate. Alternatively, the base plate may  
 6 include securing mechanisms (e.g., hooks, clasps, etc.) to secure the cassette to the base plate.  
 7 The engagement section may be of any quantity, shape or size and may be defined at any suitable  
 8 locations on the base plate. The serpentine channel may be of any quantity, shape or size, and  
 9 may be defined in the engagement section or base plate at any suitable locations. The serpentine  
 10 channel may include any pattern or configuration (e.g., spiral, zig-zag, linear, etc.). The  
 11 temperature probe opening may be of any quantity, shape or size, and may be defined at any  
 12 suitable locations within the base plate. The conductive post may be of any quantity, shape or  
 13 size, may be disposed at any suitable location within the serpentine channel or on the base plate,  
 14 and may be constructed of any suitable conductive materials.

15 The heater plate may be of any quantity, shape or size, and may be constructed of any  
 16 suitable thermally conductive materials. The heater plate may include any suitable configuration  
 17 for being received and retained by the base plate and for heating the tubing cassette. The plate  
 18 annular portion and projections may be of any shape or size, while the projections may be  
 19 disposed at any suitable locations. The heater plate may include a grooved or other type of  
 20 surface to receive cassette tubing sections or to form fluid flow paths to enable use of the device  
 21 without the cassette. The heater plate may include any quantity of any type of conventional or  
 22 other heating element secured to the plate at any desired locations via any securing techniques  
 23 (e.g., bracket, adhesives, etc.). The heater plate may include any quantity of temperature or other  
 24 sensors disposed at any suitable locations on or proximate the heater plate to measure heater plate  
 25 temperature and/or resistance.

26 The tubing cassette may be of any quantity, shape or size and may be constructed of any  
 27 suitable materials. The cassette may include any suitable configuration for being received and  
 28 retained by the base plate. The inlet and outlet tubing portions of the cassette may include any  
 29 suitable connector for securing those portions to any desired sections of an IV line. The outlet  
 30 portion may alternatively direct heated fluid from the cassette to any desired location (e.g., an  
 31 infusion or other site, a storage container, etc.). The cassette may include any type of tubing or



1 or other securing device (e.g., hook and clasp, engaging members, etc.) for securing the cover to  
2 the housing and/or base plate, and may be disposed at any suitable locations on the housing, base  
3 plate and/or cover. The cover may include any quantity of any type of conventional or other  
4 heating device (e.g., heating pad, acrylic heater, coils, etc.). The heating element wiring may be  
5 embedded within or disposed on the sheet in any fashion and include any configuration suitable  
6 to heat the cassette. The heating element contact may be of any quantity, shape or size, may be  
7 disposed within or on the sheet at any suitable locations and may be constructed of any  
8 conductive materials. The terminals may be of any quantity, shape or size, and may be embedded  
9 within or disposed on the sheet at any suitable locations.

10 The fitting may be of any quantity, shape or size, may be constructed of any suitable  
11 materials and may be disposed at any suitable locations along the IV line or cassette. The fitting  
12 base and projection may be of any quantity, shape or size and may be constructed of any suitable  
13 materials. The base channel may be of any shape or size, may be defined in the base at any  
14 locations and extend in any desired directions. The fluid line or cassette may be secured to the  
15 fitting via any conventional or other locks or connectors. The base and projection may be  
16 arranged or connected in any fashion, while the fitting may have any suitable configuration (e.g.,  
17 T-type fitting, Y-type fitting, cross fitting, coupling, etc.). The fitting may be included within and  
18 permanently or releasably connected to the cassette or a disposable IV line set. The fitting may  
19 include a receptacle to maintain fluid sterility and permit re-use of the temperature probe. The  
20 receptacle may be of any quantity, shape or size, may be constructed of any suitably thermally  
21 conductive materials and may be disposed at any locations within the projection or fitting suitable  
22 to contact or thermally conduct heat from fluid flowing within the fitting. The receptacle body  
23 and flange may be of any quantity, shape or size and may be constructed of any suitable  
24 materials. The temperature probe may be inserted and secured within the base plate opening and  
25 receptacle via any conventional or other securing techniques (e.g., friction fit, threaded  
26 engagement, securing mechanism, etc.). Similarly, the receptacle may be secured within the  
27 projection or fitting via any conventional or other securing techniques (e.g., friction fit,  
28 adhesives, threaded engagement, securing mechanism, etc.). The fitting may alternatively  
29 include the temperature probe embedded therein.

30 The temperature sensors or probes of the warming device may be of any quantity and may  
31 be disposed at any suitable locations within or on the device or device components (e.g., base

1 plate, heater plate, cassette, housing, etc.) to measure any desired temperatures. The temperature  
2 sensors or probes may be implemented by any quantity of any type of conventional or other  
3 temperature measuring devices (e.g., RTD, IR, NTC, thermistors, thermocouples, etc.). The  
4 sensors or probes may be of any shape or size to accommodate a particular application.

5 The controller may be implemented by any quantity of any conventional or other  
6 microprocessor, controller or circuitry. The controller may be disposed at any suitable location  
7 on or within the housing or separate from the device (e.g., wireless or other communication link  
8 to components, etc.). The controller may include any quantity of any type of display (e.g., LED,  
9 LCD, etc.) or indicators (e.g., visual, audio, speech synthesis, etc.) disposed at any suitable  
10 locations to convey any desired information or conditions to an operator (e.g., desired  
11 temperatures, measured temperatures, improper placement of cassette within device, etc.). The  
12 controller may include any quantity of any type of input devices (e.g., buttons, keys, voice  
13 recognition, etc.) to receive information.

14 The fluid temperature may be predetermined or entered by a user, where the device  
15 typically heats fluid to temperatures in the approximate range of 60° F- 160° F. However, the  
16 device may be utilized to heat and/or cool fluid (e.g., by employing cooling or refrigeration  
17 devices instead of or in conjunction with the heating elements) to any desired temperature or  
18 temperature range. The controller may be coupled to any quantity of external displays or printing  
19 devices to display and/or print any desired information. The controller may further control  
20 device operation in response to any measured conditions (e.g., fluid flow, various temperatures,  
21 contamination, air bubbles, etc.). The warming device may employ any quantity of any type of  
22 sensors (e.g., flow sensors, fluid sensors to detect fluid, temperature sensors, resistive sensors,  
23 ultrasonic air detectors or other sensors to detect air bubbles, etc.) to measure and/or detect  
24 conditions to control device operation.

25 The controller may record and facilitate display of device utilization information (e.g.,  
26 the amount of time of device use, quantity of times the cover has been closed, the quantity of  
27 times of heater enablement, etc.). The controller may receive any quantity of inputs and control  
28 any quantity of warming devices, and may utilize any conventional or other control algorithms  
29 (e.g., fuzzy logic, PID, etc.).

30 The heat controller may be implemented by any quantity of any conventional or other  
31 microprocessor, controller or circuitry. The heat controller may control the heating elements to

1 any desired temperature or temperature range, but preferably controls the heating elements to  
2 temperatures slightly above the desired range for the application. The heat controller may receive  
3 any quantity of inputs and control any quantity of heating elements, and may utilize any  
4 conventional or other control algorithms (e.g., fuzzy logic, PID, etc.). The device may employ  
5 the controller to directly control the heating elements without the heat controller. The controllers  
6 are each typically implemented by a commercially available controller pre-programmed and  
7 loaded with its own software, but may be implemented by any quantity of any conventional or  
8 other type of controller, microprocessor, or circuitry capable of controlling the warming device.

9 The control circuit components (e.g., power switch, relay, fuses, controllers, etc.) may be  
10 implemented by any quantity of any conventional or other electrical components arranged in any  
11 fashion and performing the functions described above. The circuit may be disposed at any  
12 location on the or within the housing and may be arranged in any fashion to control device  
13 operation as described above. The fuses may be implemented by any conventional or other fuses  
14 or limiting devices configured for any desired current level. The power switch and controllers  
15 may be disposed at any suitable locations on or within the housings. The printed circuit board  
16 may include any quantity of any circuit components, while the control circuit may receive power  
17 from any suitable power source (e.g., AC, DC, wall outlet jack, batteries, infusion device, etc.).  
18 The control circuit may be modified in any fashion and include any components to perform the  
19 functions described herein. The switches may be implemented by any conventional or other  
20 switching devices to enable or disable device operation in response to any desired conditions.

21 The warming device may be supported in various positions and orientations by any  
22 suitable structures (e.g., a patient arm or other body portion, swing arm, arm board, bed, bed  
23 rail, operating room or other table, IV pole, wall, floor, posts, etc.). The warming device is  
24 preferably positioned in close proximity to an infusion site of a patient in order to heat IV fluid  
25 (e.g., may heat fluid with or without skin contact), but may be used at any desired location along  
26 an IV line. The warming device may be utilized for operating room, pre-op and/or post-op  
27 procedures or at any other times where infusion is being performed. The mount may be of any  
28 shape or size, and may be constructed of any suitable materials. An IV pole or other structure  
29 may support any quantity of mounts and/or warming devices. The mount components (e.g., pivot  
30 mount, connectors, springs, rods, base plate, tray, etc.) may be of any quantity, shape or size, may  
31 be constructed of any suitable materials and may be disposed or connected at any suitable

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1 locations in any desired orientation or manner relative to each other (e.g., the rods may be parallel  
2 to each other or arranged in any fashion, the tray may be connected to the base plate at any  
3 location, etc.). The components may be connected via any suitable securing techniques (e.g.,  
4 bolts, integrated, hooks, etc.), and may be arranged and/or connected to each other in any  
5 fashion. Further, the mount components may be implemented by any conventional or other  
6 components performing the functions described herein. The projection may be of any quantity,  
7 shape or size, while the channel may be of any quantity, shape or size and defined in the  
8 connector at any suitable location. The arm may alternatively be connected to the connector via  
9 any conventional or other securing techniques (e.g., bracket, hinge, etc.).

10 From the foregoing description, it will be appreciated that the invention makes available  
11 a novel method and apparatus for heating solutions within intravenous lines to desired  
12 temperatures during infusion, wherein a warming device receives and heats a preformed IV  
13 tubing cassette or cartridge connected to an IV line to warm solution flowing within the line to  
14 a desired temperature during infusion into a patient.

15 Having described preferred embodiments of a new and improved method and apparatus  
16 for heating solutions within intravenous lines to desired temperatures during infusion, it is  
17 believed that other modifications, variations and changes will be suggested to those skilled in the  
18 art in view of the teachings set forth herein. It is therefore to be understood that all such  
19 variations, modifications and changes are believed to fall within the scope of the present  
20 invention as defined by the appended claims.